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ATTY DOCKET NO. RORM PTO-1440 (modified) SERIAL NO. LIST OF PATENTS AND 91-CAM Serial no. 09/573,381 APPLICANT: Mitchell R. Swartz **PUBLICATIONS FOR APPLICANT'S** INFORMATION DISCLOSURE **STATEMENT** FILING DATE: GROUP: 3641 9/17/91 REFERENCE DESIGNATION U.S. PATENT DOCUMENTS **EXAMINER DOCUMENT NUMBER** DAT NAME CLASS SUB **FILING** INITIAL CLASS DATE AA AB AC FOREIGN PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER DATE COUNTRY **CLASS** SUB **TRANS** INITIAL **CLASS** LATION AD OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.) ΑE "A very unscientific ... take on other CF Storms E: effects", COLD FUSION, 1, 1, 43 (1994) "Some characteristics of Heat Production", AF Trans Fusion Technology, vol26, 96-100 (1994) Storms, Measurements of Excess Heat of a PF Cell, Fusion Tech, 23, 230 (1993) AG Storms E, Talcott C; Fusion Technol. 17 (1990) AF 680. Electrolytic tritium production. Swartz, M., 1996, "Possible Deuterium Production From AG Light water excess enthalpy experiments using Nickel Cathodes", Journal of New Energy, 3, 68-80 (1996) Swartz, M., 1997, "Hydrogen Redistribution By Catastrophic Desorption In Select Transition Metals", Journal of New Energy, 1, 4, 26-33 AH Swartz, M., 1992, "Quasi-One-Dimensional Model of Electrochemical Loading of Isotopic Fuel into a Metal", Fusion Technology, 22, 2, 296-300 ΑI Swartz, M., 1994, "Isotopic Fuel Loading Coupled To Reactions At An Electrode". Fusion Technology, 96, 4T, AJ 74-77 Swartz. M., 1997, "Codeposition Of Palladium And Deuterium", Fusion Technology, 32. 126-130 (1997) AK EXAMINER DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.

Examiner: Palabrica, R.J.

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DATE CONSIDERED

EXAMINER Examiner: Palabrica, R.J.

PATENT FORM PTO-1440 (modified) ATTY DOCKET NO. SERIAL NO. LIST OF PATENTS AND 91-CAM Serial no. 09/573,381 **PUBLICATIONS FOR APPLICANT'S** APPLICANT: Mitchell R. Swartz INFORMATION DISCLOSURE **STATEMENT** FILING DATE: GROUP: 9/17/91 3641 REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS** EXAMINER **DOCUMENT NUMBER** DAT NAME **CLASS** SUB **FILING** INITIAL CLASS DATE AA AB AC FOREIGN PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER DATE COUNTRY CLASS **TRANS** INITIAL CLASS LATION AD OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.) ΑE Cold Fusion Times ISSN # 1072-2874 All issues: Volume 1 Number 1 Thru Volume 9 Number 1 AF Pollack AG Freedman AF Chandler AG Dagani AH Schlesinger ΑI Fusion Facts 12/96 AJ Rothwell, Review ICCF-7 AK EXAMINER DATE CONSIDERED Examiner: Palabrica, R.J.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to

applicant.

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